

The Art of LEADERSHIP

SIXTH EDITION



George Manning | Kent Curtis

The Art of Leadership

Sixth Edition

GEORGE MANNING Northern Kentucky University

KENT CURTIS

Northern Kentucky University





Dedication

Dedicated with love to our families: Nancy and Page, Larry, and Heather Mary and Lisa, Denise, and Craig

THE ART OF LEADERSHIP, SIXTH EDITION

Published by McGraw-Hill Education, 2 Penn Plaza, New York, NY 10121. Copyright © 2019 by McGraw-Hill Education. All rights reserved. Printed in the United States of America. Previous editions © 2015, 2012, and 2009. No part of this publication may be reproduced or distributed in any form or by any means, or stored in a database or retrieval system, without the prior written consent of McGraw-Hill Education, including, but not limited to, in any network or other electronic storage or transmission, or broadcast for distance learning.

Some ancillaries, including electronic and print components, may not be available to customers outside the United States.

This book is printed on acid-free paper.

1 2 3 4 5 6 7 8 9 QVS 21 20 19 18

ISBN 978-1-259-84798-1 MHID 1-259-84798-5

Executive Portfolio Manager: *Michael Ablassmeir* Associate Portfolio Manager: *Laura Hurst Spell*

Marketing Manager: Debbie Clare

Content Project Managers: Jennifer L. Shekleton, Bruce Gin, Karen Jozefowicz

Buyer: Sandy Ludovissy

Content Licensing Specialist: Lori Slattery
Cover Image: ©Meirion Matthias/Shutterstock

Compositor: Aptara®, Inc.

Book cover note: The textured lighthouse represents leadership as an art—lighting the way and guiding the course of action.

All credits appearing on page or at the end of the book are considered to be an extension of the copyright page.

Library of Congress Cataloging-in-Publication Data

Names: Manning, George, 1943- author. | Curtis, Kent, 1939- author.

Title: The art of leadership / George Manning, Northern Kentucky University,

Kent Curtis, Northern Kentucky University.

Description: Sixth edition. | New York, NY : McGraw-Hill Education, [2019]

Identifiers: LCCN 2017049563 | ISBN 9781259847981 (alk. paper) | ISBN

1259847985 (alk. paper)

Subjects: LCSH: Leadership. \mid Management.

Classification: LCC BF637.L4 M26 2019 \mid DDC 158/.4–dc23 LC record available at

https://lccn.loc.gov/2017049563

The Internet addresses listed in the text were accurate at the time of publication. The inclusion of a website does not indicate an endorsement by the authors or McGraw-Hill Education, and McGraw-Hill Education does not guarantee the accuracy of the information presented at these sites.

Contents in Brief

Preface xi

| Part 1 | Leadership Variables 13 The Leadership Equation 14 Leadership Qualities, Characteristics of Followers, and Situational Factors 26 |
|--------|---|
| Part 2 | The Power of Vision 55 4. The Importance of Vision and the Motive to Lead 56 5. Organizational Climate 72 |
| Part 3 | The Importance of Ethics 87 6. Leadership Ethics 88 7. The Role of Values and Ethics at Work 104 |
| Part 4 | The Empowerment of People 143 8. Leadership Authority 144 9. Empowerment in the Workplace and the Quality Imperative 160 |
| Part 5 | Leadership Principles 185 10. Effective Leadership and Human Relations 186 11. The Team Concept 221 |
| Part 6 | Understanding People 263 12. Human Behavior and the Art of Persuasion 265 13. The Diversity Challenge 297 |
| Part 7 | Multiplying Effectiveness 321 14. Effective Delegation and How to Assign Work 322 15. The Role of Personality 340 |
| Part 8 | Developing Others 371 16. The Leader as Coach 372 17. Helping People through Change and Burnout Prevention 393 |
| Part 9 | Performance Management 441 18. Managing Performance 442 19. Professional Performance and Sustaining Discipline 459 20. The Road Ahead: Challenge and Charge 491 |
| | Cases 503 Endnotes 516 Glossary 541 Index 549 |

1. The Importance of Leadership: Setting the Stage 1



Contents

| Preface xi | "Negative" Leadership 31 Characteristics of Followers 32 |
|--|--|
| Chapter 1 The Importance of Leadership: Setting the Stage 1 | Exercise 3-2: Interpersonal Trust Scale 33 The Importance of Trust 36 Situational Factors 37 |
| What Is Leadership? 2 The Importance of Leadership 2 Three Types of Leaders 3 How Many Leaders Are There? 4 How Qualities of the Individual and Environmental Factors Influence the Leadership Process 4 Qualities of the Individual 4 Environmental Factors 5 Interaction between the Individual and the Environment 5 Where Leaders Learn to Lead and What People Want in a Leader 6 Exercise 1-1: Personalizing Leadership 7 Satisfactions and Frustrations of Leaders 8 Satisfactions of Leaders 8 Frustrations of Leaders 8 Caring Leadership 9 Leadership in the Work Setting 10 Nine Key Areas of Leadership 10 | Different Kinds of Intelligence 38 Intelligence and Leadership 38 Exercise 3-3: Indicator of Multiple Intelligences 39 Multiple Intelligence and Successful Leadership 44 Styles of Leading 45 Exercise 3-4: What Is Your Leadership Style? 46 Leadership Case in the Public Sector 50 Leadership Effectiveness Today 50 Political Leadership 51 Part One Summary 52 Answer Key for Part One Summary 52 Reflection Points 53 Action Assignment 54 Related Readings-Classic and Current 54 |
| Chapter One Summary 12 Answer Key for Chapter One Summary 12 | Part 2 The Power of Vision 55 |
| Part 1 Leadership Variables 13 | Chapter 4 The Importance of Vision and the Motive to Lead 56 |
| Chapter 2 The Leadership Equation 14 Leadership Trait Theory 14 Exercise 2-1: Six Traits of Leadership 16 Trait Theory Applied 17 Leadership Behavior Theory 17 Exercise 2-2: Two Dimensions of Leadership 20 Behavior Theory Applied 21 Leadership Contingency Theory 21 Matching the Qualities of Leaders, the Characteristics of Followers, and the Nature of the Situation 21 Case Study: Mr. Black, Ms. Blue, and Mr. White 22 Transformational Leadership 23 | Examples of Powerful Visions 57 Vision as an Ideal 58 Leader as Visionary and Motivator of People 58 Leadership Effectiveness 59 Exercise 4-1: Leadership Assessment 60 The Concept of Visioning 62 Visioning Process Principles 62 Visioning Content Principles 62 Visioning Application Principles 63 The Importance of Alignment, Prioritization, and Execution 64 Alignment 64 Prioritization 64 Execution 65 |
| Chapter 3 Leadership Qualities, Characteristics of Followers, and Situational Factors 26 Exercise 3 1: Ten Leadership Qualities, How Do | Why Create a Vision? 65 Requirements for an Effective Vision 66 Social Motives to Lead 67 Exercise 4–2: Social Motives in the Work |
| Exercise 3-1: Ten Leadership Qualities—How Do You Rate? 30 | Setting 68 |

| Chapter 5 Organizational Climate 72 Psychological Climate 73 | How Leader Behavior Influences Employee Conduct and Organizational Reputation 111 Personal Values 113 |
|--|---|
| Exercise 5-1: Organizational Climate | Exercise 7-1: Personal Values—What Is Important |
| Questionnaire 74 Patterns of Leadership 76 | to You? 114 |
| Pattern I Leadership (Exploitive) 76 | Exercise 7-2: Values Auction 122 |
| Pattern II Leadership (Impoverished) 76 | Organizational Ethics 125 |
| Pattern III Leadership (Supportive) 77 | Why Is Ethics at Work Important to |
| Pattern IV Leadership (Enlightened) 77 | Leaders? 126 |
| The Power of Stories 79 | Business Ethics across Cultures 127 |
| Building Community in the Workplace 80 | Ethical Climates of Organizations 128 |
| Case Study: Community Building and the Role of | Exercise 7-3: Organizational Ethics 129 |
| the Leader 82 | The Tylenol Story 131 The WorldCom Case 132 |
| The Mouse and the Web 83 | Case Study: Wendy Kopp—the Recruiter 134 |
| The Struggle to Stay Flat and Fresh 83 | Exercise 7-4: Values Flag 136 |
| Merging Cultures 84 | Exercise 7-4: Values Flag 130 Exercise 7-5: The Fallout Shelter 138 |
| | Exercise 7-3. The I amout Shelter 130 |
| Part Two Summary 85 | Part Three Summary 139 |
| Answer Key for Part Two Summary 85 | Answer Key for Part Three Summary 139 |
| Reflection Points 85 | Reflection Points 139 |
| Action Assignment 86 | Action Assignment 140 |
| Related Readings-Classic and Current 86 | Related Readings-Classic and Current 141 |
| | |
| | Part 4 The Empowerment of People 143 |
| Part 3 The Importance of Ethics 87 | |
| Charten C. Landarshin Ethios 00 | Chapter 8 Leadership Authority 144 |
| Chapter 6 Leadership Ethics 88 | Servant Leadership 145 |
| Exercise 6-1: Moral Dilemmas 90 | Access, Communication, and Support 146 |
| No Easy Subject 92 | A Case of Servant Leadership 148 |
| The Roots of Ethics 92 | Authentic Leadership 149 |
| The Secular Tradition 92 | Military Leadership 150 |
| The Religious Tradition 93 | Participative Leadership Philosophy 150 |
| Ethics, Humankind, and Other Animals 94 | An Open-Book Example 151 |
| Moral Development 94 | The Leadership Position 152 |
| Levels of Morality 96 | Negative Consequences in |
| Lessons in Obedience 99 Virtue: The Nature of Level III, Stage 6 | the Use of Power 153 |
| Morality 100 | Sources of Leadership Power 153 |
| Ethics and the Legal Department 103 | Exercise 8-1: What Type of Power Does Your |
| Ethics and the Legar Department 103 | Supervisor Use? 154 Leadership, Psychological Size, and Two-Way |
| Chapter 7 The Role of Values and Ethics at Work 104 | Communication 156 Lessons from Gandhi 159 |
| The Starbucks Story 105 | Lessons from Guildin 137 |
| Values and the Importance of Courage 106 | Chapter 9 Empowerment in the Workplace and the |
| Traditional Definitions of <i>Good</i> 107 | Quality Imperative 160 |
| Power 107 | Dringings of an Emmousoned Workings 162 |
| Personal Integrity 107 | Principles of an Empowered Workplace 162 |
| Natural Simplicity 107 God's Will 108 | Characteristics of an Empowered Workplace 162 Case Study: Empowerment Facilitates Change 163 |
| Pleasure 108 | The Importance of Communication 164 |
| Greatest Good for the Greatest Number 108 | The importance of Communication 104 |
| Greatest Good for the Greatest Mullion 100 | |
| Pragmatism 108 | Managing the Grapevine 165 |
| Pragmatism 108 Duty and Right Action 109 | Managing the Grapevine 165 Filling the "Need to Know" Gap 165 |
| Pragmatism 108 Duty and Right Action 109 Honesty as a Leadership Value 109 | Managing the Grapevine 165 |

Fear 166

Leadership and Values 110

| Trust 166 | Effective Human Relationships 205 |
|---|---|
| Size 166 | 1. Help People Feel Important 206 |
| Complexes 166 | 2. Avoid Arguments 206 |
| Structure 167 | 3. Don't Be a Complainer 207 |
| Conflict 167 | 4. Show Interest in Others 207 |
| The High-Performance Workplace 168 | 5. Remember People's Names 207 |
| Leadership Challenge 169 | Abuse and Physical Violence 208 |
| The Quality Movement 170 | Sexual Harassment 208 |
| W. Edwards Deming 171 | What to Do When People Complain 209 |
| The Deming Way 173 | Trust and Respect in Human Relations 209 |
| Philosophical Roots of the Quality Movement 173 | Exercise 10-3: The Dyadic Encounter 211 |
| Beginning with Taylor 173 | The Art of Listening 213 |
| Scientific Management and the Model-T 174 | Capitalize on Thought Speed 213 |
| The Human Relations School 174 | Listen for Ideas 213 |
| A Human Relations Pioneer 175 | Reduce Emotional Deaf Spots 214 |
| Experiments in Participative | Find an Area of Interest 214 |
| Management 175 | Judge Content, Not Delivery 215 |
| Quality Synthesis 176 | Hold Your Fire 215 |
| Improving Performance through Quality Initiatives 176 | Work at Listening 215 |
| Background 177 | Resist Distractions 216 |
| Financial Benefits of Improving Quality 177 | Hear What Is Said 216 |
| Continuous Improvement Today 178 | Challenge Yourself 216 |
| The Toyota Way and the Starbucks | The Importance of Listening as a |
| Experience 179 | Leadership Skill 216 |
| Malcolm Baldrige National Quality Award 180 | The Enlightened Workplace 216 |
| What Is Quality? 180 | The Evolving Context of Human Relations 218 |
| | The Virtual World Hits Home 220 |
| Part Four Summary 182 | |
| Answer Key for Part Four Summary 182 | Chapter 11 The Team Concept 221 |
| Reflection Points 183 | |
| Action Assignment 184 | Teamwork Means Life and Death at Mayo |
| Related Readings-Classic and Current 184 | Clinic 222 |
| | Excellent Teams 222 |
| Part 5 Leadership Principles 185 | Exercise 11-1: Characteristics of an Effective |
| Turt 3 Leadership i Thielpies 103 | Group 224 |
| | |
| Chanter 10 Effective Leadership and Human | Positive versus Negative Group Member |
| Chapter 10 Effective Leadership and Human Relations 186 | Roles 226 |
| Relations 186 | Roles 226 Dealing with Problem Behavior 228 |
| Relations 186 Proven Principles of Leadership 187 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 |
| Relations 186 Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, |
| Relations 186 Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 |
| Relations 186 Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 |
| Relations 186 Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 Work Morale 194 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 The Importance of Hiring and Developing |
| Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 Work Morale 194 Raising Employee Morale 195 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 The Importance of Hiring and Developing Winners 237 |
| Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 Work Morale 194 Raising Employee Morale 195 The Measurement of Morale 195 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 The Importance of Hiring and Developing Winners 237 How to Create a High-Performance Team 237 |
| Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 Work Morale 194 Raising Employee Morale 195 The Measurement of Morale 195 Exercise 10-2: Morale Survey—What Is Your Level | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 The Importance of Hiring and Developing Winners 237 How to Create a High-Performance Team 237 Virtual Teams 239 |
| Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 Work Morale 194 Raising Employee Morale 195 The Measurement of Morale 195 Exercise 10-2: Morale Survey—What Is Your Level of Morale? 196 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 The Importance of Hiring and Developing Winners 237 How to Create a High-Performance Team 237 Virtual Teams 239 Deciding When to Use a Team |
| Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 Work Morale 194 Raising Employee Morale 195 The Measurement of Morale 195 Exercise 10-2: Morale Survey—What Is Your Level of Morale? 196 Employee Morale and the Role of | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 The Importance of Hiring and Developing Winners 237 How to Create a High-Performance Team 237 Virtual Teams 239 Deciding When to Use a Team Approach 239 |
| Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 Work Morale 194 Raising Employee Morale 195 The Measurement of Morale 195 Exercise 10-2: Morale Survey—What Is Your Level of Morale? 196 Employee Morale and the Role of Management 199 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 The Importance of Hiring and Developing Winners 237 How to Create a High-Performance Team 237 Virtual Teams 239 Deciding When to Use a Team Approach 239 Stages in the Life of a Group 240 |
| Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 Work Morale 194 Raising Employee Morale 195 The Measurement of Morale 195 Exercise 10-2: Morale Survey—What Is Your Level of Morale? 196 Employee Morale and the Role of Management 199 Work Morale and the Role of the Leader 199 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 The Importance of Hiring and Developing Winners 237 How to Create a High-Performance Team 237 Virtual Teams 239 Deciding When to Use a Team Approach 239 Stages in the Life of a Group 240 Avoiding Groupthink 243 |
| Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 Work Morale 194 Raising Employee Morale 195 The Measurement of Morale 195 Exercise 10-2: Morale Survey—What Is Your Level of Morale? 196 Employee Morale and the Role of Management 199 Work Morale and the Role of the Leader 199 Case Study: The Importance of Morale 199 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 The Importance of Hiring and Developing Winners 237 How to Create a High-Performance Team 237 Virtual Teams 239 Deciding When to Use a Team Approach 239 Stages in the Life of a Group 240 Avoiding Groupthink 243 Team-Building Interventions and Techniques 245 |
| Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 Work Morale 194 Raising Employee Morale 195 The Measurement of Morale 195 Exercise 10-2: Morale Survey—What Is Your Level of Morale? 196 Employee Morale and the Role of Management 199 Work Morale and the Role of the Leader 199 Case Study: The Importance of Morale 199 Practical Leadership Tips 200 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 The Importance of Hiring and Developing Winners 237 How to Create a High-Performance Team 237 Virtual Teams 239 Deciding When to Use a Team Approach 239 Stages in the Life of a Group 240 Avoiding Groupthink 243 Team-Building Interventions and Techniques 245 Exercise 11-3: Team Excellence 247 |
| Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 Work Morale 194 Raising Employee Morale 195 The Measurement of Morale 195 Exercise 10-2: Morale Survey—What Is Your Level of Morale? 196 Employee Morale and the Role of Management 199 Work Morale and the Role of the Leader 199 Case Study: The Importance of Morale 199 Practical Leadership Tips 200 Psychological Health and the Concept of Flow 201 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 The Importance of Hiring and Developing Winners 237 How to Create a High-Performance Team 237 Virtual Teams 239 Deciding When to Use a Team Approach 239 Stages in the Life of a Group 240 Avoiding Groupthink 243 Team-Building Interventions and Techniques 245 Exercise 11-3: Team Excellence 247 Force Field Analysis 248 |
| Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 Work Morale 194 Raising Employee Morale 195 The Measurement of Morale 195 Exercise 10-2: Morale Survey—What Is Your Level of Morale? 196 Employee Morale and the Role of Management 199 Work Morale and the Role of the Leader 199 Case Study: The Importance of Morale 199 Practical Leadership Tips 200 Psychological Health and the Concept of Flow 201 Job Design and Work Satisfaction 203 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 The Importance of Hiring and Developing Winners 237 How to Create a High-Performance Team 237 Virtual Teams 239 Deciding When to Use a Team Approach 239 Stages in the Life of a Group 240 Avoiding Groupthink 243 Team-Building Interventions and Techniques 245 Exercise 11-3: Team Excellence 247 Force Field Analysis 248 Appreciative Inquiry 248 |
| Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 Work Morale 194 Raising Employee Morale 195 The Measurement of Morale 195 Exercise 10-2: Morale Survey—What Is Your Level of Morale? 196 Employee Morale and the Role of Management 199 Work Morale and the Role of the Leader 199 Case Study: The Importance of Morale 199 Practical Leadership Tips 200 Psychological Health and the Concept of Flow 201 Job Design and Work Satisfaction 203 The Importance of Human Relations 203 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 The Importance of Hiring and Developing Winners 237 How to Create a High-Performance Team 237 Virtual Teams 239 Deciding When to Use a Team Approach 239 Stages in the Life of a Group 240 Avoiding Groupthink 243 Team-Building Interventions and Techniques 245 Exercise 11-3: Team Excellence 247 Force Field Analysis 248 Appreciative Inquiry 248 The Role of the Leader in the Team Concept 249 |
| Proven Principles of Leadership 187 Exercise 10-1: Leadership Report Card 188 Leadership Lessons from Steve Jobs and James T. Kirk 194 Work Morale 194 Raising Employee Morale 195 The Measurement of Morale 195 Exercise 10-2: Morale Survey—What Is Your Level of Morale? 196 Employee Morale and the Role of Management 199 Work Morale and the Role of the Leader 199 Case Study: The Importance of Morale 199 Practical Leadership Tips 200 Psychological Health and the Concept of Flow 201 Job Design and Work Satisfaction 203 | Roles 226 Dealing with Problem Behavior 228 Designing Teams for Success 229 Exercise 11-2: Problem-Solving Styles—Darwin, Einstein, Socrates, and Ford 231 Leader as Team Builder 237 The Importance of Hiring and Developing Winners 237 How to Create a High-Performance Team 237 Virtual Teams 239 Deciding When to Use a Team Approach 239 Stages in the Life of a Group 240 Avoiding Groupthink 243 Team-Building Interventions and Techniques 245 Exercise 11-3: Team Excellence 247 Force Field Analysis 248 Appreciative Inquiry 248 |

Leadership, Diversity, and Personal Example 316

| The Human Side of Team Effectiveness 251 | |
|--|--|
| Exercise 11-4: Lost in Space 252 | Part Six Summary 317 |
| Exercise 11-5: Broken Squares 254 | Answer Key for Part Six Summary 317 |
| Part Five Summary 258 | Reflection Points 318 |
| Answer Key for Part Five Summary 258 | Action Assignment 319 |
| Reflection Points 259 | Related Readings-Classic and Current 320 |
| Action Assignment 261 | |
| Related Readings-Classic and Current 261 | |
| Related Readings-Classic and Current 201 | Part 7 Multiplying Effectiveness 321 |
| Part 6 Understanding People 263 | Chapter 14 Effective Delegation and How to Assign Work 322 |
| Chapter 12 Human Behavior and the Art of | Delegation Suggests Stame 222 |
| Persuasion 265 | Delegation Success Story 323 |
| Dayahalagiaal Faraas 265 | Exercise 14-1: Delegation Diagnosis 324 Stans and Pules for Effective Delegation 226 |
| Psychological Forces 265 Exercise 12–1: Characteristics of the Self-Actualized | Steps and Rules for Effective Delegation 326 |
| Person 268 | Assigning Work Effectively 327 Person-Position Fit 329 |
| Motivation in the Workplace 270 | Job Families 330 |
| Exercise 12-2: Motivation at Work 271 | |
| | Exercise 14-2: Understanding Personality and |
| Why People Do What They Do 277 Employee Engagement 279 | Occupational Types 332 Good Work 333 |
| ž v v v | |
| Roots of Engagement 279 Causes of and Solutions to | Management Roles and Skills 333 Exercise 14–3: Functions and Levels of |
| | |
| Disengagement 280 Keys of Engagement 280 | Management—In-Box Practice 336 The Vital Shift—Moving from Doer to Coordinator |
| Emotional Intelligence 281 | to Thinker 338 |
| Exercise 12–3: What Is Your EI at Work? 283 | |
| Developing Emotional Intelligence in the | The New-Job Tryout 339 |
| Work Setting 285 | Chapter 15 The Role of Personality 340 |
| The Art of Persuasion 286 | Chapter 15 The Role of Fersonality 540 |
| An Understanding of People 286 | The Importance of Self-Concept 341 |
| The Effective Use of Words 287 | Personality Plays a Part 342 |
| Rhetoric in a Nutshell 290 | Exercise 15-1: Cardinal, Central, and Secondary |
| Vehicles of Communication 291 | Traits—Personal Evaluation 344 |
| The Ability to Manage Conflict 292 | Exercise 15–2: Interpersonal Style Questionnaire 346 |
| Exercise 12-4: Styles of Conflict 295 | Types of People and Types of Culture 350 Personality and Culture 350 |
| Chapter 13 The Diversity Challenge 297 | Understanding Others 351 |
| | Dealing with Different Types of People 354 |
| A Brief History of Diversity 299 | Solving Personality Differences 355 |
| Managing Diversity 300 | Leadership Needs and Organizational Contributions |
| A Class Divided 302 | of Different Styles 356 |
| Diversity Prescription 303 | Mixing Personalities to Strengthen the Group 357 |
| Benefits of Diversity 304 | Interpersonal Styles and Leadership |
| Diversity Strategies and Techniques 304 | Effectiveness 358 |
| What Individuals Can Do 304 | Personality Consistency—the Big Five Personality |
| What Organizations Can Do 305 | Traits 359 |
| Hindrance Habits 306 | Exercise 15-3: The Big Five Personality Test 362 |
| Exercise 13-1: The Diversity Wheel 307 | Case Study: Understanding People—Steve Jobs's |
| Why Tolerance Is Important 308 | Personality 364 |
| Can People Change? 309 | D + 0 0 0 0.07 |
| Gender Diversity in the Workplace 311 | Part Seven Summary 367 |
| Communication across Genders 311 | Answer Key for Part Seven Summary 367 |
| Managing Mixed Groups 312 | Reflection Points 368 |
| Women in Leadership Positions 312 | Action Assignment 369 |
| Generational Differences 315 | Related Readings-Classic and Current 369 |

Team Dynamics 250

Stress across Cultures 418

| VIII Contents | |
|--|--|
| Part 8 Developing Others 371 | Women, Work, and Stress 419 |
| | The Executive Monkey Studies 421 |
| Chapter 16 The Leader as Coach 372 | Job Stress Interventions 422 |
| The Development of Others 374 | Wellness Programs 422 |
| Types of Coaches/Leaders 375 | Eschewing Crazy-Busy 424 |
| Principles of Developing Others 376 | The Role of the Family 424 Exercise 17-2: Family Report Card 425 |
| Training in the Workplace 378 | The Stress-Resistant Person 426 |
| Exercise 16-1: Numbers Never Lie 379 | Exercise 17-3: Characteristics of a Hardy |
| Measurement of Learning Effectiveness 382 | Personality 427 |
| Developing Leaders 383 | The Stress-Prone versus the Stress-Resistant |
| Stretch Assignment 384 | Personality 430 |
| Coaching for Success 384 | Developing Resilience 431 |
| Leadership Development for Organization | Personal Commitment 432 |
| Success 386 | Sense of Control 432 |
| The Employer-Employee Relationship 387 | Positive Attitude 433 |
| What Employers Want in an Employee 387 | Balanced Perspective 433 |
| What Employers Want in a Company-How to | Caring Relationships 434 |
| Attract and Keep Good People 388 | • |
| Managing Human Capital 389 | Part Eight Summary 436 |
| Peak Performance 390 Personal Performance 391 | Answer Key for Part Eight Summary 436 |
| reisonal remormance 391 | Reflection Points 437 |
| | Action Assignment 438 |
| Chapter 17 Helping People through Change and | Related Readings-Classic and Current 439 |
| Burnout Prevention 393 | D . O D |
| Change in the Westerlage 202 | Part 9 Performance Management 441 |
| Change in the Workplace 393 Managing People through Change 394 | Chapter 18 Managing Performance 442 |
| Rules to Guide Leaders in Implementing | Chapter 16 Managing Fertormance 442 |
| Change 395 | Exercise 18-1: The Performance Management |
| Understanding Complex Organizational | Lab 444 |
| Change 396 | Taking Aim and Taking Stock 445 |
| Case Studies 399 | Setting Performance Objectives 445 |
| Case Study: Challenge to Change at Starbucks 399 | Conducting Performance Reviews 446 |
| Case Study: Leading Change at Ford Motor | Deloitte Feedback Method 448 |
| Company 399 | Evaluating Leaders 448 |
| Case Study: Change in the Waste Industry 400 | Exercise 18-2: How Does Your Supervisor |
| The Diffusion of Innovation 401 | Rate? 449 Evaragina 18, 2: Landarship Physical 451 |
| The Role of the Individual 402 | Exercise 18-3: Leadership Physical 451 Performance Management Strategies 454 |
| Myths and Realities in Dealing with Change 402 | Followership—Would You Hire You? 455 |
| Overcoming Fear 404 | Exercise 18-4: Putting Your Best Foot |
| The Importance of Attitude 404 | Forward 456 |
| Strategies for Dealing with Change 407 | 10111410 |
| Initiating Change 408 | Chapter 19 Professional Performance and Sustaining |
| Avoiding Burnout 408 | Discipline 459 |
| Exercise 17-1: Up in Smoke—Are You Burned Out? 411 | E |
| Dealing with Burnout 413 | Exercise 19-1: The Performance Pyramid 460 |
| The Leader's Role in Burnout Prevention 413 | Improving Performance 464 Statesmanship 464 |
| Job Stress 414 | Entrepreneurship 466 |
| Stress in the Modern-Day Workplace 414 | Innovation 468 |
| Stress Levels and Job Performance—Occupational | You Can Improve If You Want To 472 |
| Overload and Underload 416 | Performance Success Story—a Case |
| Tension in the Tower 417 | in Point 473 |
| Assembly-Line Hysteria 417 | Case Study: A Performance Success Story 473 |
| Stress at Work and Public Policy 418 | The Role of Organizational Support 474 |
| | |

Five Levels of Performance Excellence 474

Organizational Performance 476

Benchmarking 476

Exercise 19–2: Benchmarking the Best 477

Organizational Success 478

From Logging in the Woods to Taming the

Ocean 478

Reaching the Summit 479

Turning the Ship Around 479

The Kite and the String 480

Organizations in Progress 480

Improving Performance through Behavior

Modification 482

Improving Employee Performance in the

Transportation Industry 482

The Importance of Discipline 483

Taking Corrective Action 484

Case Study: The Forklift Fiasco 487

Part Nine Summary 488

Answer Key for Part Nine Summary 488

Reflection Points 489

Action Assignment 490

Related Readings-Classic and Current 490

Chapter 20 The Road Ahead: Challenge and Charge 491

The Road Ahead 491

Nine Keys of Leadership Success 492 Exercise 20-1: Personal Analysis of Leadership—

Nine Keys to Success 496 Leaders Who Care 498

Learning from Lincoln 500

Going Forward 500

Cases 503

Endnotes 516

Glossary 541

Index 549

Preface

Welcome to today's world of leadership, where personal values and interpersonal skills are as important as formal position and command and control structure. The word *leader* first appeared in the English language in the 1300s, coming from the root word *leden* meaning "to travel" or "show the way." The term *leadership* followed some five centuries later. Today the study of leadership is multidisciplinary with contributions from history, philosophy, psychology, political science, business, and education.

The first edition of *The Art of Leadership* was published in 2003. The sixth edition continues to combine behavior theory with business practice to teach central concepts and skills in leadership. The book is made more valuable and the impact greater by the self-evaluation questionnaires and practical exercises that are used for personal development and class involvement. *The Art of Leadership* is more than a textbook; it is a "learning" book that actively involves the reader in the learning process.

The sixth edition teaches leadership in a way that is appropriate for both new and experienced leaders, as well as for the everyday person who must influence others to get things done. Our goal is for you to use this book to develop your full potential as a leader, to *become the kind of leader you always wanted to have,* and to help you become a good, and perhaps even a great, leader.

Many principles of leadership are timeless. Examples from Socrates to Gandhi are used in the book. In addition, the sixth edition of *The Art of Leadership* adds theoretical foundation, new information, and learning exercises to personalize the subject. The book covers the topics most management and leadership instructors expect and students need to know about. These include leadership trait and behavior theories, charismatic and transformational leadership, leadership ethics and values, human relations and the empowerment of people, the team concept and group dynamics, leader as coach and developer of people, cultural diversity and the global economy, stress in the workplace and adaptive capacity, and performance management and organizational success.

We have revised each part of the book based on comments provided by students and colleagues who used the first five editions and on formal reviews submitted by a cross section of instructors from community colleges, four-year schools, and universities with graduate programs in leadership. We have attempted to tighten up the writing, expand on real-world examples, and broaden coverage to areas that have emerged more recently on the leadership scene.

Using an evidence-based management approach, the book is thoroughly referenced with classic and current citations. The number of references has increased from 1,050 to 1,271 in the sixth edition.

We have retained the most popular features from previous editions and have added new material in the following areas:

- Part 1: deeper analysis of the dark side of leadership; destructive patterns of leadership behavior; and multiple intelligences.
- Part 2: further discussion of transformational leadership; the roles of vision, alignment, prioritization, and execution for organizational success; the importance of organizational culture; and creating a psychologically healthy work climate.
- Part 3: classic and contemporary moral dilemmas; personal value orientations; and levels of morality in leadership ethics.
- Part 4: the philosophy and practice of servant leadership; the use of power and the art of persuasion in the leadership process, including psychological size and two-way communication.

- Part 5: current challenges in virtual world communication; leading teams; tools for team building and problem solving; handling conflict; and employee retention.
- Part 6: global and cross-cultural leadership issues; women in leadership; employee engagement; and valuing diversity as a strength.
- Part 7: the psychology of leadership behavior, including the role of personality; person-position fit; effective delegation; and dealing with difficult people.
- Part 8: the self-fulfilling prophecy and the leader as a mentor; coaching for success; and leading change, including adaptive capacity, work-life balance, and the importance of attitude.
- Part 9: high-performance leadership practices; managing for results; accountability and the wisdom of Peter Drucker for contemporary leaders, including responsibilities of a leader, addressing deficiencies; performance management; and productivity improvement.

Leadership lessons are included from such diverse and iconic leaders as Pope Francis, Winston Churchill, and James T. Kirk. Cases with questions for discussion are included at the end of the book to enhance each part of the text. Web sites are identified for additional information. Classic and current related books are recommended for each part.

Central Ideas of This Book

This book is based on two ideas. The first is that leadership will take place to the extent the leader cares about the work to be done. Equally important, the leader must care about people. Neither of these qualities is sufficient without the other, and neither can be false. People know when the leader cares. When the leader is committed to the task and is concerned about people, these qualities serve as magnets and motivators to followers, and their potential for achievement becomes enormous.

The second premise of the book is that leadership is an art that can be developed through mastery of nine key areas of success. The successful leader must possess knowledge and skills in the following areas: understanding leadership variables, the power of vision, the importance of ethics, the empowerment of people, leadership principles, understanding people, multiplying effectiveness, developing others, and performance management.

Who Should Read This Book?

The sixth edition of *The Art of Leadership* is written for students in leadership development and other management-related courses, such as leadership principles, contemporary leadership, and managerial skills. It is appropriate for leadership courses in business, education, psychology, communication, healthcare, criminal justice, the military, and public administration.

The Art of Leadership is appropriate for use at the university level as well as in corporate university programs. It is ideally suited for undergraduate degree-completion students and organization-based education, where there is an emphasis on developing leadership competency. The Art of Leadership, sixth edition, is popular with students and instructors in both classroom and online courses. No prior coursework in business or management is required.

Although the organization and sequence of chapters remain the same in the sixth edition, instructors can teach material in the order that best meets their needs. Each part is self-contained.

The level of material is appropriate for both emerging and experienced leaders. Emerging leaders can use this book to prepare themselves to meet the demands of being a leader. Having a vision of what should be done, effectively using authority, motivating people to perform at their best, and solving tough personnel problems—discussed in Parts 2, 4, 6, and 9—are challenges all leaders must face.

Experienced leaders can use this book to address workplace issues, taking leadership skills to new levels of effectiveness. Matching leadership style with the needs of followers, leading by values and ethical principles, raising employee morale, delegating work effectively, and helping people through change—discussed in Parts 1, 3, 5, 7, and 8—are important areas for leaders to address.

The Art of Leadership, sixth edition, is scholarly, stimulating, and useful for anyone who seeks to better understand the dynamics of leadership and to improve his or her own leadership effectiveness. By understanding leadership and its challenges, appreciating the importance of caring leadership, and developing the skills required for effective leadership, readers will (1) be more effective at work, (2) gain knowledge and skills, and (3) have the ability to lead others when the opportunity occurs.

Approach and Style of the Book

The difference between most other leadership texts and *The Art of Leadership*, sixth edition, can be compared to the difference between a lecture and a seminar. Although both are good educational vehicles, the lecture is better for conveying large amounts of information, while the seminar is better for developing skills and attitudes. A good lecture is interesting and builds knowledge, while a good seminar is stimulating and builds competency. Without sacrificing either theoretical foundation or important content, *The Art of Leadership* emphasizes the interactive, seminar approach to learning.

Reviewers of the book identify its major strengths to be clarity of writing and user-friendly exercises. The writing style is personal and conversational, with minimal professional jargon. True-life examples clarify points under consideration. Concepts are supported by facts and figures, as well as by stories and anecdotes that are meaningful and easy to remember. Each part includes cases and learning activities to bridge the gap between theory and on-the-job practice. Useful suggestions are provided, including the leader's use of power, managing conflict, leading teams, and professional resilience.

Sources are cited from art, science, literature, the military, politics, and sports. Our goal has been to include material that is interesting to read, practical to use, and personalized to the reader's own concerns. A favorite proverb captures this best: "I listen and I hear; I see and I remember; I do and I understand" (Confucius [(551-479 BC]).

Supplemental Information and Instructional Aids

McGraw-Hill Connect® connect.mheducation.com provides a highly reliable, easy-to-use homework and learning management solution that embeds learning science and award-winning adaptive tools to improve student results.

Homework & Adaptive Learning

- · Contextualized assignments.
- · SmartBook.
- · Time-saving tools.
- · Customized to individual needs.

Robust Analytics & Reporting

- · Easy-to-read reports.
- · Individual and class performance data.
- · Auto-grading.

Quality Content & Learning Resources

- · eBook available offline.
- · Custom course content.
- · Resource library.
- · Consolidated resources.
- · Easy course sharing.
- · Customized to-do list and calendar.
- · Lecture capture.

Trusted Service & Support

- · Seamless LMS integration.
- Training.
- · In-product help and tutorials.
- · 1:1 or group help.

Instructor Library

The Connect Management Instructor Library is your repository for additional resources to improve student engagement in and out of class. You can select and use any asset that enhances your lecture.

The instructor's center includes a revised and robust instructor's guide that contains learning objectives, discussion questions to personalize learning, PowerPoint presentations, notes and anecdotes for class discussion, suggested films and videos (annotated), extensive bibliography and suggested articles for book reports and term papers, interactive cases, applications and exercises for experiential learning, and suggested Web sites for current issues. All chapters have updated test-bank questions, answer keys, and PowerPoint slides to enhance lectures.

LearnSmart[®]: The sixth edition of *The Art of Leadership* is available with LearnSmart, the most widely used adaptive learning resource, which is proven to improve grades. To improve your understanding of this subject and improve your grades, go to McGraw-Hill Connect[®] connect.mheducation.com, and find out more about LearnSmart. By helping students focus on the most important information they need to learn, LearnSmart personalizes the learning experience so they can study as efficiently as possible.

SmartBook®: An extension of LearnSmart, SmartBook is an adaptive eBook that helps students focus their study time more effectively. As students read, SmartBook assesses comprehension and dynamically highlights where they need to study more.

Manager's Hot Seat: Now instructors can put students in the hot seat with access to an interactive program. Students watch real managers apply their years of experience when confronting unscripted issues and answer questions about how the manager is handling the situation.

Self-Assessments: Students can explore inclinations and preferences regarding organizational behavior topics such as active listening, creativity, decision making, and diversity.

How to Use This Book

The sixth edition of *The Art of Leadership* integrates current knowledge, skill development, and personal insight about leadership. It can be used as a textbook for teaching others, a workbook for personal development, and a desk book for ready reference in the area of leadership. The material is arranged in a logical sequence for learning. The best approach is to *interact* with the material. Read the narrative, complete the questionnaires, examine the interpretations, and review the principles and techniques. Then ask, How does this apply to me? How can I use this concept or information to improve my leadership effectiveness? Then *take action*.

To increase interest and improve overall learning, try the following:

- 1. Use the Learning Objectives and Reflection Points included in each part of the book to focus your reading, improve comprehension, and increase retention of the material.
- 2. Share questionnaires and exercises with family, friends, and co-workers, especially those who are interested in leadership development. In this way, you can make tangible use of what you learn and may even help others.
- 3. Think of the best leader you have ever had. What qualities did this individual possess? In what ways did he or she demonstrate the art of leadership? Use the material in this book to develop your own leadership effectiveness.
- 4. Write in the book. Follow the advice of Yale professor William Phelps: "Books are for use, not for show; you should own no book that you are afraid to mark up." You may want to use two markers to highlight information—one for personal development and one to help others. Use the margins, underline, write your own ideas. Personalize the material.
- 5. Visit the text's online Web site for more information: www.connect.mheducation.com.

Good luck in your learning!

We want your suggestions. If you have questions or see a way to improve this book, please write. Thank you.

George Manning Kent Curtis Northern Kentucky University, Highland Heights, Kentucky 41099 E-mail: manningg@nku.edu curtisk@nku.edu

Acknowledgments

The last words written in a book are the acknowledgments, and they are placed in the beginning, as they should be.

Special thanks go to Lindsey Walker, Mary Curtis, Jessica Dyer, and Barbara Thomes for their tireless efforts in researching, editing, and preparing the multiple manuscript revisions for publication.

We are especially grateful to those whose work has helped shape and guide our ideas. Many of these prominent thinkers are mentioned in this book. Although the others are too numerous to list, we are no less indebted to them for their contributions to the field. Every effort has been made to locate the sources of the numerous quotes and references we cite, with an eye toward keeping the book both historically rich and up to date. From time to time, such information may shift. We welcome any corrections or changes.

We would like to thank the many reviewers and adopters who have helped shape the six editions of this text. Special appreciation goes to the following colleagues and supporters who have provided substantive help in manuscript review and research:

Shamima Ahmed, Northern Kentucky University

William Attenweiler, Northern Kentucky University

Charles Bausch, Deloitte
Mark Berry, Delta Airlines
Melanie Bingham, Perfetti-Van Melle,
USA

Anthony Blair, Eastern University
Mendy Blair, Baptist Healthcare System
Bruce Blaylock, Radford University
Coulter R. Boyle, American Electric Power
Kimberley Breitenbecher, Northern
Kentucky University
Rick Brockmeier, Northern Kentucky
University

Timothy Burt, University of Durham (England)

Alan Burton, Cianbro Companies Mike Campbell, American Electric Power

Bob Caplon, IBM

Margaret Casarez, Unbound Consulting Ruth Cash-Smith, Ottawa University Dennis Chandler, Measured Progress Jeanetta Chrystie, Southwest Minnesota State University

Virginia Russell Curley, Nebraska Methodist College

Mike Daly, Ft. Thomas City Government Mary Deville, Pulaski Technical College Jeanne Dexter, Southwestern College

Mark Donaghy, RTA

Meagan Downing, Northern Kentucky University

Jim Drake, Lake Superior College Charles Drubel, Muskingum College

David Duby, Liberty University

Mark Fulford, University of Central Missouri

Gail George, Great American Insurance Company

Fred Gilliam, Capital Metro

Kerry Gillihan, Cardinal Hill Healthcare System

Sharon Glazer, San Jose State University Wade Graves, Grayson County College Ronald Green, Johnson & Johnson Dan Gregorie, V2E Consulting

David Hall, Saginaw Valley State University

William Harbour, Longwood University Loretta Harper, University of Utah

Ray Henschen, SMG, Limited

Chuck Hewett, The Jackson Laboratory

Pat Hinckley, State of Maine

Larry Hitch, Ford Motor Company Martha Hunt, NH Technical Institute

Gerald E. Hunter, Norfolk State University

Terri Iacobucci, Northern Kentucky University

Jill Isaacs, Western & Southern Financial Group

Deloris James, University of Maryland George Andrew Jones, Concordia University

Ray Jones, The Citadel

Peter Jordan, Radisson Hotels

Dan Keefe, Great American Insurance Companies

Justin Kendrick, Universal Health Services

Anne Kilpatrick, Medical University of South Carolina

Chris Kleymeyer, Kentucky Department of Corrections

Michael Koshuta, Purdue University Cathy Kramer, Association for Quality and Participation

Denny Krause, Great American Insurance Company

David Krings, Hamilton County Government

Dennis Kripp, Roosevelt University Mae Landesman, The Jackson Laboratory

Niaz Latif, Purdue University

Charles Leffler, North Carolina State University

Catherlyn Lewis-Brim, Warner Southern College

Bill Lindsay, Northern Kentucky University

Kathleen Magee, Anna Maria College Charles Mambula, Langston University Steve Martin, The Huber Company Barbara Mathews, Baptist Healthcare

System

Steve McMillen, Tennesee Valley Authority

William McQueen, University of Mississippi

Laban Miller, Cardinal Hill Healthcare System

Atul Mitra, University of Northern Iowa

Philip Moberg, Northern Kentucky University

Tom Morris, Notre Dame University Nassar Nassar, Bethel College Joe Ohren, Eastern Michigan

University

Rhonda Smith Palladi, Georgia State University

Joe Petrick, Wright State University Harmon Person, Procter & Gamble Paul Quealy, Cincinnati Milacron

Melody Rawling, Northern Kentucky University

David Richeson, Mannesmann-Stabilus, USA

Dan Ronay, Indiana Department of Corrections

Tony Ross, Fidelity

Joseph Santora, Essex County College Kraig Schell, Angelo State University

Vince Schulte, Northern Kentucky University

Angel Scott, University of Durham (England)

William Shadle, Robert Morris University

Matthew Shank, University of Dayton

Art Shriberg, Xavier University

Jennifer Skinner, TriHealth

Jeffrey Smith, Northern Kentucky University

Betty Jo Sproull, Ohio Valley Medical Center

Carl W. Stenberg, University of North Carolina at Chapel Hill

Terri Stewart, Just the Basics

Christian Strong, La Rosa's Inc.

Robert Nelson Thomas, Georgia Institute of Technology

Itoe Valentine, Albany Technical College

Marius Van Melle, Van Melle, Inc. Dennis Veit, University of Texas-Arlington

Claus Von Zychlin, Mt. Carmel Health Care System

James C. Votruba, Northern Kentucky University

Jeff Walter, Great American Insurance Companies

John Waltman, Eastern Michigan University

Earl Walz, The Urology Group

Beverly Watts, Tennessee Commission on Human Rights

Susan Wehrspann, University of Colorado Hospital

Angela Woodward, University of Kentucky

Itoe Valentine, Albany Technical

College
Nancy Yates. Butler County Community

College

Jim Youngquist, Arkansas State University

Jeff Zimmerman, Northern Kentucky University

As always, we would like to thank the talented and dedicated book publishing team of McGraw-Hill Education: Director—Michael Ablassmeir; Associate Portfolio Manager—Laura Hurst Spell; Marketing Manager—Debbie Clare; Program Manager—Jennifer Shekleton; Project Managers—Bruce Gin, Karen Jozefowicz, and Manvir Singh; Buyer—Sandy Ludovissy; Content Licensing Specialist—Lori Slattery; Copyeditor—Carey Lange; Indexer—Vikas Makkar; Proofreader—Carey Lange. Each of you has made the writing of this book enjoyable and the quality of the book immeasurably better.

Most of all, we would like to thank our wives, Nancy and Mary, for their love, encouragement, and never-ending support.

About the Authors

George Manning is professor emeritus of psychology at Northern Kentucky University. He is a consultant to business, industry, and government, serving such clients as the AMA, AT&T, General Electric, IBM, Duke Energy, the United Auto Workers, Young Presidents' Organization, the U.S. Navy, and the National Institutes of Health. He lectures on economic and social issues, including quality of work life, workforce values, and business ethics. He maintains an active program of research and writing in organizational psychology. His current studies and interests include the changing meaning of work, leadership ethics, and coping skills for personal and social change.

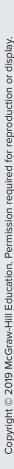
Kent Curtis is professor emeritus of organizational leadership at Northern Kentucky University. He has examined the collegiate tutorial system as a visiting Fellow at the University of Durham in England and has designed numerous employee and management development programs serving such clients as Texas Medical Center, Junior Achievement, Wendy's International, Procter & Gamble, and American Electric Power. He developed the Northern Kentucky University online organizational leadership degree. His current research includes leading teams, the leader as coach and developer of people, and teaching leadership via distance learning.

The Art of Leadership

art (ärt), noun. 1. Skill acquired by experience or study. 2. a system of rules to facilitate performance; the use of skill and imagination in applying such rules (the art of building, the art of persuasion). 3. endeavor requiring special knowledge and ability (fine arts, practical arts). 4. the product or result of artistic faculty (body of work).

leadership (lēd-er-ship), noun. 1. Showing the way or direction; the course of action. 2. influencing or causing to follow by words and deeds.

- 3. guiding the behavior of others through ideas, strength, or heroic feats.
- 4. the position or function of one who leads (the king led his people).
- 5. the ability to lead (she displayed leadership skill).





The Importance of Leadership: Setting the Stage

ALL OVER THE WORLD in corporations and government agencies, there are millions of executives who imagine their place on the organization chart has given them a body of followers. And of course it hasn't. It has given them subordinates. Whether the subordinates become followers depends on whether the executives act like leaders.

-John Gardner

Learning Objectives

After studying Chapter One, you will be able to:

- Define *leadership* and discuss its importance.
- Know where leaders learn to lead and what people want in a leader.
- Identify the satisfactions and frustrations of leadership.
- Describe the elements of caring leadership.
- Understand the difference between leadership and management.

What Is the Takeaway Point?

Leadership is a fascinating subject that impacts every aspect of the human experience.

Leadership is a concept that is both current and timeless. In one form or another, the leadership process has been central to human interaction since the dawn of society. Excellence in leadership requires the ability to attract capable people, motivate them to put forth their best efforts, and solve problems that arise. These are difficult tasks, which help explain why effective leadership is rare and why we respect those who excel.

To personalize the subject, consider these questions: Have you ever been the victim of a poor leader? How do you feel about the good leaders you have known? If you have experienced both types of leaders, you know firsthand the importance of good leadership. No other factor is more important for work morale and job performance.

There are millions of people who know what it is like to work for a leader who

- Takes all the credit for work done by others.
- Is selfish and rude.
- Makes mistakes and blames others.
- Is tyrannical and cruel.
- Cares only about self-preservation.
- Is threatened by competence.
- Is dishonest and unfair.

All these examples are real, all these factors diminish people's lives at work, and none is necessary. The Jack Welch Management Institute reports that more than one-half of working adults have suffered under a bad boss at some point in their career. The sad fact is, the percentage of leaders people would be willing to work for again is less than 40 percent. This means that most people would not want to work for the majority of leaders they have had.¹

We are convinced that the weakest link in business, industry, and government today is leadership. It is not technology; it is not tools or equipment; it is not facilities; it is not the skills of employees; it is not systems and procedures. It is leadership. Leadership failure rates range from 40 percent to 60 percent, costing organizations millions of dollars each year.²

What Is Leadership?

Leadership is social influence. It means leaving a mark. It is initiating and guiding, and the result is change. The product is a new character or direction that otherwise would never be. By their **ideas** and **deeds**, leaders show the way and influence the behavior of others.³

To understand the importance of ideas, consider the legend of King Arthur, who led the Knights of the Round Table with his vision of chivalry:

My teacher Merlyn, who always remembered things that haven't happened better than things that have, told me once that a few hundred years from now it will be discovered that the world is round—round like the table at which we sat with such high hope and noble purpose. If you do what I ask, perhaps people will remember how we of Camelot went questing for right and honor and justice. Perhaps one day men will sit around this world as we did once at our table, and go questing once more ... for right ... honor ... and justice.⁴

To understand the importance of deeds, consider the storyteller Homer's account of Achilles, who led Greek warriors by his heroic feats:

So saying, he plunged once more into the fight and man after man fell before his sword and before his spear. He raged among the Trojans like a whirlwind that drives the flames this way and that when there is a forest fire along the dry slopes of the mountains.⁵

History holds countless examples of ideas and acts that have determined human destiny. Consider the events put in motion and the impact on the world when 56 leaders signed the Declaration of Independence, a Unanimous Declaration of the Thirteen United States of America, in Congress July 4, 1776.⁶

The Importance of Leadership

Upon every wave of political history has been a Caesar, an Elizabeth, a Napoleon, or a Saladin. In every lull, leadership has been absent. Consider the period of approximately AD 800 to 1000:

Europe lapsed into utter decentralization, and lost for centuries the administrative unity that the reign of Charlemagne promised. A heavy blow was dealt at the slowly developing culture that the

eighth century produced. It was not without justice that the ninth and tenth centuries have been called "the Dark Ages." The internal history of continental Europe became a dismal record of tiresome local feuds and private wars.⁷

Leadership is important not only in government, but in other areas of life as well. Social conscience and conduct have been influenced by reformers such as Martin Luther King and Susan B. Anthony:

Susan B. Anthony was a passionate advocate, who saw "the vote" as the symbol of women's emancipation and independence as well as the indispensable condition of a true government. . . . Although still voteless, she declared, "The world has never witnessed a greater revolution than in the status of women during the past half century."

The fates of nations have been determined by military figures such as Alexander the Great and Joan of Arc:

Alexander the Great opened a new era in the history of the world and, by his life's work, determined its development for many centuries. The permanent result of his life was the development of Greek civilization into a civilization that was worldwide.⁹

Civilization has been shaped by philosophers such as John Stuart Mill and Adam Smith:

John Stuart Mill was one of England's greatest philosophers, hardly surpassed by thinkers of the highest order. Mill taught that a popular representative government (democracy) inevitably makes for progress.¹⁰

The initiative of leaders has a formative place in history. At times their eloquence, like Churchill's, may be worth a thousand regiments; their skill, like Napoleon's, may win battles and establish states. If they are teachers or prophets, like Muhammad, wise in insight, their words may inspire good deeds.

Three Types of Leaders

There are many ways to lead, and indeed, we are influenced by some people even centuries after they are gone. Some leaders are **teachers**, who are rule breakers and value creators; some are **heroes**, responsible for great causes and noble works; and some are **rulers**, motivated principally to dominate others and exercise power. Consider how the ideas and deeds of the teachers, heroes, and rulers in Table 1–1 have influenced the world.¹¹

| Table 1–1 | |
|---------------------|---------|
| Types of Leaders in | History |

| Teacher | Hero | Ruler |
|-----------|--------------|---------------|
| Aquinas | Beethoven | Akbar |
| Aristotle | Columbus | Alexander |
| Augustine | Curie | Charlemagne |
| Buddha | da Vinci | Elizabeth I |
| Confucius | Darwin | Frederick II |
| Gandhi | Edison | Genghis Khan |
| Jesus | Einstein | Hitler |
| Lao-tzu | Ford | Isabella I |
| Luther | Galileo | Julius Caesar |
| Marx | Gutenberg | Louis XIV |
| Moses | Hippocrates | Mao Tse-tung |
| Muhammad | Michelangelo | Napoleon |
| Paul | Newton | Ramses II |
| Plato | Pasteur | Saladin |
| Rumi | Shakespeare | Washington |
| Socrates | Watt | Yoritomo |

How Many Leaders Are There?

Are we led by a few, or are there many who lead? Words such as *emperor*, *king*, and *chief* differentiated leaders from others in earlier times. There were few powerful positions, books were rare, and mass education was unknown. Today information is everywhere, ideas are free, and self-expression is encouraged. It is a different world, as evidenced by the 70th edition of *Who's Who in America 2016*, which contains entries for more than 90,000 people. Each of these individuals, by ideas or deeds, has influenced the lives of others; each has been a teacher, hero, or ruler.

There is a changing perception of who can be a leader today. The response is heard over and over: Everyone can be a leader. Leadership is shifting from an autocratic, hierarchical model toward an empowering, participatory model. The new definition recognizes the potential and unique contributions of everyone. As former secretary of labor Robert Reich says, "Everyone has a leader inside." No longer is leadership viewed as a combination of charisma and expertise possessed by only a few people at the top of an organizational pyramid. Today it is viewed as the challenge and responsibility of every individual with potential to make a difference. In this spirit, John Quincy Adams said: "If your actions inspire others to dream more, learn more, do more, and become more, you are a leader." 12

Consider the example of Rosa Parks, whose courage helped determine the course of civil rights in American society:

It was December 1, 1955, when a white passenger aboard a Montgomery, Alabama, bus asked Rosa Parks to yield her seat. Her refusal to move to the back of the bus ended in her arrest, but began the nonviolent protest movement for civil rights in the United States. A year-long boycott of the Montgomery bus system, led by Martin Luther King, forced the issue of the South's Jim Crow laws to the forefront of America's consciousness. The Supreme Court's 1956 decision to declare segregation laws unconstitutional signaled a victory for Parks, of whom King said "she had been tracked down by the Zeitgeist—the spirit of the time." 13

In meaningful ways, leadership is provided by the multitude of people who influence their families, friends, work groups, and organizations. Responding to situations in work and life that require leadership, "episodic" leaders include parents, supervisors, officers, and other leadership figures. Think of your own experiences. Have you not at some time provided leadership to others, either by your ideas or by the example you set?

How Qualities of the Individual and Environmental Factors Influence the Leadership Process

The leadership scholar James MacGregor Burns once called leadership one of the most observed and least understood phenomena on earth. Questions frequently asked are, Which is more important—the individual or the environment? Are leaders born or made? In his book *Leadership*, Burns concludes that leadership is fired in the forge of both personal ambition and social opportunity.¹⁴

Qualities of the Individual

Historically, leadership has been attributed to the individual. This view is sometimes called the "great man theory." Reflecting this view, the Scottish philosopher and historian Thomas Carlyle believed that among the undistinguished masses are people of light and learning, individuals superior in power, courage, and understanding. Carlyle saw the history of the human race as the biographies of these leaders, its great men and women: "Their moral character may be something less than perfect; their courage may not be the essential ingredient; yet they are superior. They are followed, admired, and obeyed to the point of worship." 15

Ralph M. Stogdill, one of the most distinguished scholars on leadership, has found certain traits of the individual that correlate positively with leadership:

The leader is characterized by: a strong drive for responsibility and task completion; vigor and persistence in pursuit of goals; venturesomeness and originality in problem-solving; drive to exercise initiative in social situations; self-confidence and sense of personal identity; willingness to accept consequences of decision and action; readiness to absorb interpersonal stress; willingness to tolerate frustration and delay; ability to influence other persons' behavior; and capacity to structure social interaction systems to the purpose at hand.

It can be concluded that the cluster of characteristics listed above differentiate leaders from followers, effective from ineffective leaders, and higher echelon from lower echelon leaders. In other words, different strata of leaders and followers can be described in terms of the extent to which they exhibit these characteristics. These characteristics considered individually hold little diagnostic or predictive significance. In combination, it would appear that they interact to generate personality dynamics advantageous to the person seeking the responsibilities of leadership. 16

Environmental Factors

More recently, leadership has been viewed as an acquired competency, the product of many forces, not the least of which are environment and circumstance. In this sense, leadership is seen as a social phenomenon, not an individual trait. This school of thought helps explain why leaders who are successful in one situation (for example, building a bridge) may not be successful in another (such as directing a play or a research team).¹⁷ The same individual may exert leadership in one time and place but not in another. Stogdill explains:

It should be noted that to a large extent our conceptions of characteristics of leadership are culturally determined. The ancient Egyptians attributed three qualities of divinity to their king. They said of him, "Authoritative utterance is in thy mouth, perception is in thy heart, and thy tongue is the shrine of justice." This statement would suggest that the Egyptians were demanding of their leader the qualities of authority, discrimination, and just behavior.

An analysis of Greek concepts of leadership, as exemplified by different leaders in Homer's *Iliad*, showed four aspects were valued: (1) justice and judgment—Agamemnon; (2) wisdom and counsel—Nestor; (3) shrewdness and cunning—Odysseus; and (4) valor and action—Achilles. All of these qualities were admired by the Greeks. Shrewdness and cunning are not as highly regarded in our contemporary society as they once were (although justice, judgment, wisdom, valor, and action remain in high esteem).¹⁸

The patterns of behavior regarded as acceptable in leaders differ from time to time and from one culture to another; thus, the establishment of educational institutions and curricula to impart and reinforce knowledge, skills, and attitudes deemed to be important by a society or group.¹⁹

Probably the most convincing support for leadership as a social phenomenon is the fact that throughout history, male leaders have outnumbered female leaders to a significant degree. Even the definition of the word *leader* is a social phenomenon. Consider the case of "President" Edith Wilson, leader in all but name during the incapacitating illness of her husband, President Woodrow Wilson. It is Woodrow, however, whom history credits as leader, as president, even during the period of his inability to govern. Public recognition of Mrs. Wilson's influence would not have been in line with the norms of the times.

Interaction between the Individual and the Environment

To focus on either the individual or the environment alone is like trying to understand clapping by studying only one hand. A student showed his father his report card containing five F's. He said, "Dad, it's either heredity or environment. What do you think?" Evidence shows that both the **qualities of the individual** and **environmental factors** are important elements in the leadership equation. Leadership results from the inextricable interaction between the two. Findings from sociobiological studies of other animal species support this view. For example, biologist Richard Borowsky has discovered spontaneous growth among male fish. Young males remain small and sexually underdeveloped until the adult population in the group is reduced. Then, size and sexual maturation accelerate dramatically. Clearly, biological and sociological systems are closely related.²⁰

Similar signs of sudden maturation are found in human beings. Leaders may emerge spontaneously in social crises after filling essentially anonymous roles for years. Consider the transformation of Poland's Lech Walesa from shipyard worker to national labor leader during the 1980s. Some people seem to have innate abilities that unfold under certain conditions—external circumstances and internal qualities interact to create a sudden and dramatic spurt of performance. Before becoming president, the biggest staff Abraham Lincoln managed was a law office of one junior partner and several clerks. Lincoln grew in office as if destiny required him, as he put it, to "rise to the occasion." Thomas Hardy wrote a poem about the sinking of the *Titanic*. He writes in *The Convergence of Twain*, "No mortal eye could see/ the intimate welding of their later history/ They were bent/ By paths coincident/ On being anon twin halves of one August event." Even as the great ship was being built, the iceberg on its "sinister state" had also been growing. Applying Hardy's poem to leadership, leaders must meet their "sinister mate." What would George Washington be without The American Revolution, Abraham Lincoln be without the Civil War, or Franklin Roosevelt be without the Great Depression?²²

Where Leaders Learn to Lead and What People Want in a Leader

In the most extensive study ever done on leadership, the U.S. Chamber of Commerce sought to answer two questions: (1) Where do leaders learn to lead? (2) What do people want in a leader?²³

The number one place people say they learn to lead is from **experience**. They are thrown into the water and expected to sink or swim. Common Cause founder John W. Gardner identifies his arduous experience as a Marine during World War II as the "learning crucible" in which his own leadership abilities emerged.²⁴ Ask yourself how much of your leadership approach and skill you have learned from experience.

The second most-cited place people learn to lead is from **examples** or models. They watch Bill or Jill lead and it seems to work out, so they do the same. They watch Sarah or Sam lead and it doesn't work out, so they resolve never to use those methods or techniques. Who have been your models or examples in the practice of leadership?

The third most-cited place people say they learn to lead is from **books and school**. Formal education, learning seminars, and professional reading can provide valuable information and insight. What book, theory, or class has helped in the development of your leadership skills?

Even more interesting, especially for leaders, is to know what people want in a leader. Desired qualities change across culture and time, but what people say they want most in American society is **integrity**. When people are asked to define *integrity*, the word they mention most frequently is *honesty*. The leader with integrity always tells the truth as he or she believes it to be. Think about the best leader you have ever had; she or he probably had integrity. First and foremost, people want a leader they can trust. Ask yourself whether you have a reputation for integrity.

The second most-cited quality people want in a leader is **job knowledge**. This quality ranges from knowing what direction to take (abstract visioning) to knowing how to solve problems (practical ability). Again, think about the best leader you have ever had; it is likely that this person had a purpose, a plan, and the skill to succeed. Moreover, truly great leaders keep job knowledge current. They know what it takes to be effective in the leadership position—they are good but not complacent, and they continually strive to improve. How do you currently rate on the job knowledge scale?

The third most-cited quality people want in a leader can be summarized as **people-building skills.** This quality includes the ability to assemble and develop a winning team, and it involves a variety of important skills: performance planning, performance coaching, and correcting poor performance; effective delegation; effective discipline; and the ability to motivate. People want an empowering leader who will be a mentor and developer of others. Do you have the interest, ability, and patience required to motivate and develop others?²⁵ (See Exercise 1–1.)

| $\dot{\sim}$ |
|--------------------------|
| <u>_</u> |
| Sp |
| ö |
| $\stackrel{\sim}{\sim}$ |
| 0 |
| 5 |
| 芸 |
| \preceq |
| ŏ |
| 5 |
| 9 |
| ~ |
| ŏ |
| _ |
| 8 |
| ·Ĕ |
| ㅠ |
| requ |
| Ē |
| |
| issi |
| ₽ |
| Ξ |
| ē |
| щ. |
| ň |
| ∺ |
| S |
| \simeq |
| _ |
| 뎚 |
| l Edu |
| HII Ed∪ |
| /-Hill Edu |
| aw-Hill Edu |
| sraw-Hill Edu |
| cGraw-Hill Edu |
| McGraw-Hill Edu |
| 9 McGraw-Hill Edu |
| 019 McGraw-Hill Edu |
| 2019 McGraw-Hill Edu |
| _ |
| t © 2019 McGraw-Hill Edu |
| ht © |
| _ |
| yright © |
| opyright © |
| yright © |

| Exercise 1–1 Personalizing Leadership | Where have you learned your leadership skills? Describe each pertinent learning area. Personal experience |
|---|--|
| Leadersinp | |
| | |
| | |
| | |
| | ■ Examples or models |
| | Litamples of models |
| | |
| | |
| | |
| | |
| | ■ Books, school, and online learning |
| | |
| | |
| | |
| | |
| | |
| | Do you possess the qualities people want in a leader? Support your response. Integrity (honesty)—resulting in trust |
| | Integrity (nonesty)—resulting in trust |
| | |
| | |
| | |
| | |
| | ■ Job knowledge—resulting in confidence |
| | |
| | |
| | |
| | |
| | |
| | ■ People-building skills—resulting in motivation and teamwork |
| | |
| | |
| | |
| | |
| | |

Satisfactions and Frustrations of Leaders

Approximately 1 out of every 10 people in the American workplace is classified as a supervisor, an administrator, or a manager. Management author Andrew DuBrin identifies seven satisfactions and seven frustrations that individuals in leadership roles typically experience. If you are a leader, make note of the ones that relate to you.

Satisfactions of Leaders

- 1. A feeling of power and prestige. Being a leader typically grants one power and a sense of importance.
- 2. A chance to help others. A leader works directly with people, often teaching them job skills, serving as a mentor and an advisor.
- **3.** *High income.* Leaders, in general, receive higher pay than nonleaders, and executive leaders typically earn substantial incomes.
- **4.** *Respect and status.* A leader is typically respected by group members and enjoys a higher status than people who are not occupying leadership roles.
- **5.** *Opportunities for advancement.* Once one becomes a leader, advancement opportunities usually increase.
- **6.** A feeling of being in a position of knowledge. A leader typically receives more information than do nonleaders.
- 7. An opportunity to control money and other resources. A leader is typically in the position of determining budgets and authorizing expenses.

Frustrations of Leaders

- 1. *Too much uncompensated work time*. People in leadership positions typically work longer hours than nonleaders. During periods of high demand, working hours can surge to 80 hours per week and more.
- **2.** *Too many problems.* A leader is subject to the universe of problems involving people and things. The leader is expected to address problems and get them solved.
- 3. *Not enough authority to carry out responsibility*. People in leadership positions may be held responsible for outcomes over which they have little control.
- **4. Loneliness.** The higher one rises as a leader, the more lonely it can be. Leadership limits the number of people in whom one can confide.
- **5.** *Too many problems involving people.* A frustration facing a leader is the number of people problems requiring action. The more employees one has, the more problems one is likely to face.
- **6.** Organizational politics. The leader must engage in political byplay from three directions: below, sideways, and above. Although tactics such as forming alliances and coalitions are a necessary part of a leader's role, it can be particularly frustrating if people purposefully work against each other within an organization.
- 7. *The pursuit of conflicting goals*. A major challenge facing leaders is navigating among conflicting goals. The central issue of such dilemmas is attempting to grant others the authority to act independently, yet still get them aligned and pulling together for a common purpose.²⁷

At this time, do the satisfactions of leadership outweigh the frustrations you may have, or is the opposite the case? Consider the pros and cons of your leadership position.

Caring Leadership

Whether one leads by word or deed; whether a leader is teacher, hero, or ruler; whether leadership is inborn or formed; no matter where one learns to lead; no matter the arena where leadership occurs; no matter the level of satisfaction or frustration a leader may feel; there is an essential ingredient necessary for success. The leader must *care*. Only when the leader cares will others care. Only when the leader cares will there be focus and energy for the work to be done.

There are two aspects of caring leadership: First is **commitment to a task**; second, and equally important, is **concern for people.** Theodore Roosevelt captures the spirit of the caring leader with a task to achieve:

The credit goes to the man who is actually in the arena, whose face is marred with sweat and dust and blood; who strives valiantly; who errs and comes short again and again; who knows the great enthusiasms, the great devotions, and spends himself in a worthy cause; who at the best knows the triumph of high achievement; and who, if he fails, at least fails while daring greatly. Far better it is to dare mighty things, to win glorious triumphs, even though checkered by failure, than to take rank with those cold and timid souls who live in the gray twilight that knows not victory nor defeat.28

With fervor and eloquence, Roosevelt blasts a life of ease and advocates a strenuous life of engagement and meaning. For the caring leader, this means personal commitment to accomplish a goal. The goal may be a one-time endeavor or a life's work. The goal may be a tangible product, such as the creation of a business, or it may be an idea or a cause, such as stamping out tyranny. In any case, the leader's commitment becomes contagious, igniting the emotions of all who are present.

Caring leadership also means caring about people. The caring leader is unselfish, ready and eager to hear the other person's story. The caring leader will dedicate her- or himself in service to others. Concern for others results in loyalty to the leader and dedication to the leader's goals.²⁹ Jan Carlzon, former chairman and CEO of Scandinavian Airlines, explains the importance of caring leadership in the work setting: "In my experience, I have learned there are two great motivators in life. One is fear. The other is love. You can manage people by fear, but if you do, it will diminish both them and you. The path to success begins in the heart."³⁰

James Autry, former CEO of the Meredith Corporation, reminds us that caring leadership must come from the heart, from within, not from policy books. Sharing the wisdom of years of experience in his wonderful volume *Love and Profit*, Autry states, "If you don't truly care about people, you should get out of leadership; it will save a lot of people a lot of trouble and maybe even a heart attack." He captures the spirit of the caring leader in a poem entitled "Threads." ³¹

Both commitment to a goal and concern for others must be present for caring leadership to occur. Without commitment there is no passion, and without concern there is no loyalty. Caring leadership cannot be legislated, and it cannot be an act. It is either present or not. When the leader cares, others become focused and energized. It is at this point that direction and momentum develop and great achievements are made.